

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Morito MORISHIMA

Serial No.: 10/589,783

Filed: 17 August 2006

Title: SOUND REPRODUCING APPARATUS AND
METHOD OF IDENTIFYING POSITIONS OF
SPEAKERS

Group Art Unit: 2614

Examiner: D. Paul

Attorney Docket No.: YAMA-0138

Confirmation No.: 9499

VIA EFS-WEB**MAIL STOP ISSUE FEE**

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**REQUEST FOR ACKNOWLEDGMENT
OF INFORMATION DISCLOSURE STATEMENT (IDS)**

Sir:

Applicant requests the examiner to acknowledge the receipt of the Information Disclosure Statements filed on 25 July 2008 and 16 October 2008. For the convenience of the USPTO, applicant encloses a copy of these IDS and their corresponding Electronic Acknowledgement Receipts.

Applicant requests that the examiner return the initialed copies of the IDSs to the undersigned as soon as possible.

Respectfully submitted,

ROSSI, KIMMS & McDOWELL LLP

10 JANUARY 2011

DATE

/Lyle Kimms/

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10589783
	Filing Date		2006-08-17
	First Named Inventor	Morito MORISHIMA	
	Art Unit	2615	
	Examiner Name	D. PAUL	
Attorney Docket Number		YAMA:138	

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	1	01-276900	JP	A	1989-11-07	YAMAGUCHI et al.	Cited in OA, dated 09-09-2008, issued in corresp. JP appl. no. 2004-041237 Eng. abstract provided	<input type="checkbox"/>

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		T ⁵

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Art Unit	2615
Examiner Name	D. PAUL
Attorney Docket Number	YAMA:138

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1	Decision of Refusal, dated 09-09-2008, issued in corresponding JP application no. 2004-041237.	<input checked="" type="checkbox"/>
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Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

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Examiner Name	D. PAUL
Attorney Docket Number	YAMA:138

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CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☒ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Marc Rossi/	Date (YYYY-MM-DD)	2008-10-16
Name/Print	Marc A. Rossi	Registration Number	31,923

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Electronic Acknowledgement Receipt

EFS ID:	4093372	COPY
Application Number:	10589783	
International Application Number:		
Confirmation Number:	9499	
Title of Invention:	Sound reproducing apparatus and method of identifying positions of speakers	
First Named Inventor/Applicant Name:	Morito Morishima	
Customer Number:	37013	
Filer:	Marc A. Rossi/Rebecca Linton	
Filer Authorized By:	Marc A. Rossi	
Attorney Docket Number:	YAMA:138	
Receipt Date:	16-OCT-2008	
Filing Date:	17-AUG-2006	
Time Stamp:	13:42:58	
Application Type:	U.S. National Stage under 35 USC 371	

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	NPL Documents	YAMA-138-DECISION-OF- REFUSAL.pdf	354705	no	10
			f7048a28-7090d7c10670cad0be55da7e7 22079		

Warnings:

Information:

2	Foreign Reference	JP01-276900.pdf	204614 #bacd0481d1d1a17adec081a113d818144ca3	no	5
Warnings:			COPY		
Information:					
3	Information Disclosure Statement (IDS) Filed (SB/08)	YAMA-138-IDS-US_IDS_Form_SB_08a.pdf	758548 5bc529ed16d18d8d8d8d8c11887124514efb18ca1	no	4
Warnings:					
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<p>A U.S. Patent Number Citation or a U.S. Publication Number Citation is required in the Information Disclosure Statement (IDS) form for autoloading of data into USPTO systems. You may remove the form to add the required data in order to correct the Informational Message if you are citing U.S. References. If you chose not to include U.S. References, the image of the form will be processed and be made available within the Image File Wrapper (IFW) system. However, no data will be extracted from this form. Any additional data such as Foreign Patent Documents or Non Patent Literature will be manually reviewed and keyed into USPTO systems.</p>					
Total Files Size (in bytes):			1317867		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10589783
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	First Named Inventor	Morito MORISHIMA	
	Art Unit	2615	
	Examiner Name	Paul Disler	
Attorney Docket Number		YAMA:138	

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Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
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	1	2000-354300	JP	A	2000-12-19	SUZUKI	Cited in office action issued in corresponding JP application no. 2004-041237; English abstract provided	<input type="checkbox"/>
	2	2002-199487	JP	A	2002-07-12	NAKAMURA	Cited in office action issued in corresponding JP application no. 2004-041237; English abstract provided	<input type="checkbox"/>

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First Named Inventor	Morito MORISHIMA
Art Unit	2615
Examiner Name	Paul Disler
Attorney Docket Number	YAMA:138

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	1	Office Action issued in corresponding Japanese Patent Application No. 2004-041237, mailing date June 3, 2008	<input checked="" type="checkbox"/>

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EXAMINER SIGNATURE

Examiner Signature		Date Considered	
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Application Number	10589783
Filing Date	2006-08-17
First Named Inventor	Morito MORISHIMA
Art Unit	2615
Examiner Name	Paul Disler
Attorney Docket Number	YAMA:138

CERTIFICATION STATEMENT

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- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Marc Rossi/	Date (YYYY-MM-DD)	2008-07-24
Name/Print	Marc A. Rossi	Registration Number	31923

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Electronic Acknowledgement Receipt

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EFS ID:	3669968
Application Number:	10589783
International Application Number:	
Confirmation Number:	9499
Title of Invention:	Sound reproducing apparatus and method of identifying positions of speakers
First Named Inventor/Applicant Name:	Morito Morishima
Customer Number:	37013
Filer:	Marc A. Rossi/michelle morgan
Filer Authorized By:	Marc A. Rossi
Attorney Docket Number:	YAMA:138
Receipt Date:	25-JUL-2008
Filing Date:	17-AUG-2006
Time Stamp:	10:04:10
Application Type:	U.S. National Stage under 35 USC 371

Payment information:

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File Listing:					
Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Foreign Reference	YAMA-138-JP2000-354300.pdf	827395	no	14
			2f02cb28b98779f94442d3144001508ac189675e8		
Warnings:					
Information:					

2	Foreign Reference	YAMA-138-JP2002-199487.pdf	996522 c8a1e0ac521c2b77f5c96440a10d10ac1411c7aa	no	12
Warnings:					
Information:					
3	NPL Documents	YAMA-138-Office-Action-Cor r-JP2004-041237.pdf	600736 74060b4c455c5d9970d258419c3b41745e9810	no	14
Warnings:					
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4	Information Disclosure Statement (IDS) Filed	YAMA-138-US_IDS_Form SB_08a.pdf	736248 9e15d42915d82a9155c02b1777502d9580a1d89	no	4
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